

NORTH WEST LEICESTERSHIRE DISTRICT COUNCIL

AUDIT AND GOVERNANCE COMMITTEE – WEDNESDAY, 10 JUNE 2026



<b>Title of Report</b>	<b>SENIOR INFORMATION RISK OFFICER (SIRO) ANNUAL REPORT</b>	
<b>Presented by</b>	Rochelle Tapping Interim Head of Legal and Support Services and Monitoring Officer	
<b>Background Papers</b>	<a href="#">Standards and Ethics Report Q1 2025</a>  <a href="#">Standards and Ethics Report Q2 2025</a>  <a href="#">Standards and Ethics Report Q3 2026</a>	<b>Public Report:</b> Yes
<b>Financial Implications</b>	There are no financial implications arising out of this report.  <b>Signed off by the Acting Section 151 Officer:</b> yes	
<b>Legal Implications</b>	<p>The report relates to the Council's statutory obligations under the UK General Data Protection Regulation, the Data Protection Act 2018, the Freedom of Information Act 2000 and the Environmental Information Regulations 2004.</p> <p>The SIRO role forms part of the Council's governance arrangements for ensuring compliance with these legal duties.</p> <p>The report provides assurance regarding compliance and identifies areas of risk and improvement.</p> <p><b>Signed off by the Monitoring Officer:</b> yes</p>	
<b>Staffing and Corporate Implications</b>	There are no staffing implications arising out of this report.  <b>Signed off by the Head of Paid Service:</b> yes	
<b>Purpose of Report</b>	The purpose of this report is to present to Members for consideration the SIRO's annual report for 2025/26 and to provide assurance to the Audit and Governance Committee regarding the effectiveness of the Council's information governance framework and risk management arrangements.	
<b>Recommendations</b>	<b>THAT AUDIT AND GOVERNANCE COMMITTEE NOTES THE REPORT OF THE SIRO AND PROVIDES ANY COMMENTS.</b>	

## **1.0 BACKGROUND**

- 1.1 The Council has a Senior Information Risk Owner (SIRO) to oversee its information risk management and ensure regulatory compliance. The Council's SIRO is the Head of Legal and Support Services.
- 1.2 The responsibilities of the SIRO are as follows:
  - To be accountable for risk management in relation to information governance at the Council;
  - To take overall ownership of the Council's information risk approach, including the information governance framework; and
  - To oversee the information security incident procedure and the Council's compliance in relation to dealing with information security incidents (data breaches).
- 1.3 The SIRO has produced an annual report to provide an overview of the Council's compliance in relation to regulatory requirements and to set out how information risk has been managed across the Council over the financial year 2025/26. It demonstrates how the Council has continued to meet its statutory regulatory requirements relating to the processing of personal data under the UK General Data Protection Regulation (GDPR) and the Data Protection Act 2018 (DPA 2018) as well as its duty to be transparent through compliance within the Freedom of Information Act 2000 and the Environmental Information Regulations 2004.
- 1.4 The SIRO's report for the period 1 April 2025 - 31 March 2026 is appended at Appendix 1.

<b>Policies and other considerations, as appropriate</b>	
Council Priorities:	A Well-Run Council
Policy Considerations:	The roles and responsibilities of the SIRO are set out in the Council's Information Governance Framework.
Safeguarding:	N/A
Equalities/Diversity:	N/A
Customer Impact:	N/A
Economic and Social Impact:	N/A
Environment, Climate Change and Zero Carbon	N/A
Consultation/Community/Tenant Engagement:	N/A
Risks:	<p>The annual SIRO report provides an overview of how information risk has been managed, with risk consideration forming a core element of the report.</p> <p>Failure to effectively manage information governance risks may result in data breaches, regulatory enforcement action by the Information Commissioner's Office, financial penalties and reputational damage to the Council.</p> <p>This report provides assurance on how such risks are being identified, managed and mitigated.</p>
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