

Title of Report	ANNUAL REVIEW OF CORPORATE GOVERNANCE POLICIES	
Presented by	Mark Walker Head of Finance	
Background Papers	None	Public Report: Yes
Purpose of Report	To receive the committee's comments on the Councils Governance Policies ahead of Cabinet	
Recommendations	THAT THE COMMITTEE PROVIDES ANY COMMENTS IT MAY HAVE FOR CONSIDERATION BY CABINET WHEN IT MEETS TO CONSIDER THE POLICIES ON 20 SEPTEMBER 2020.	

1.0 BACKGROUND

1.1 The Council is responsible for ensuring that its business is conducted in accordance with the law and appropriate standards. In discharging this responsibility the Council has in place arrangements for governance of its affairs and staff.

1.2 The following documents constitute the Council's suite of Corporate policies:

Policy	Last Reviewed
Anti-Fraud and Corruption Policy	2021
Anti-Money Laundering Policy	2021
Confidential Reporting (Whistleblowing Policy)	2021
Risk Management Policy	2021
RIPA Policy	2021
Information Management Policy	2021
Data Protection Policy	2021
ICT & Cyber Security Policy	2021
Local Code of Corporate Governance	2021

1.3 An annual review of the suite of policies has been undertaken and the revised draft policies are appended to this report. The Committee's views are sought ahead of consideration of the policies at Cabinet in September 2022.

2.0 POLICY REVIEW

2.1 The policies have been reviewed by a team comprising Legal, Internal Audit, ICT, the Monitoring Officer, the Strategic Director of Housing and Customer Services, the Data Protection Officer and the Section 151 Officer.

The main changes to each policy are summarised below:

2.2 Anti-Fraud and Corruption Policy

There have been no changes in legislation that affect this policy since the previous review, therefore, only minimum amendments have been made, namely, the updated officer details.

2.3 Anti-Money Laundering Policy

There have been no changes in legislation that affect this policy since the previous review, therefore, only minimum amendments have been made, namely, the updated officer details.

2.4 Confidential Reporting (Whistleblowing Policy)

There have been no changes in legislation that affect this policy since the previous review, therefore, only minimum amendments have been made, namely, the updated officer details.

2.5 Risk Management Policy

The Risk Management policy remains substantively unchanged. Minor updates to reflect current practise have been made. Following the audit earlier in the year and agreed by this committee, the outstanding action regarding risk appetite, tolerance and detailing roles of particular officers have been added in the form of a new section 5 and additional detail to the annex to the policy.

2.6 RIPA Policy

There have been no changes to this Policy.

2.7 Information Management Policy

There have been no changes to this Policy.

2.8 Data Protection Policy

There have been no changes to this Policy.

2.9 ICT & Cyber Security Policy

There have been no changes to this Policy.

2.10 Local Code of Corporate Governance

The table in section 1.3 has been updated to reflect the current CIPFA document. No changes to narrative only presentational in terms of format and colours.

Inserting section 1.7. which now includes reference to the Nolan Principles. These are the 7 principles which the Code is based on, however the previous version did not include any reference to Nolan. It is important for clarity that the Council sets out the 7 principles and Nolan principles are one and the same. A link to the Government website has also been incorporated for reference.

Policies and other considerations, as appropriate	
Council Priorities:	Our communities are safe, healthy and connected
Policy Considerations:	All those detailed within this report
Safeguarding:	Whistleblowing, surveillance using RIPA and Protecting people's data are all considered to be safeguarding our communities
Equalities/Diversity:	The opportunity for whistleblowing helps to ensure any risk of inequality or lack of diversity can be highlighted
Customer Impact:	Anti-fraud, anti-money laundering and corruption will protect the customer from financial impact
Economic and Social Impact:	Anti-fraud, anti-money laundering and corruption will protect the customer from economic impact
Environment and Climate Change:	N/A
Consultation/Community Engagement:	N/A
Risks:	Risk Management Policy
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